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Structural Elucidation of *cis/trans* Dicafeoylquinic Acid Photoisomerization Using Ion Mobility Spectrometry-Mass Spectrometry

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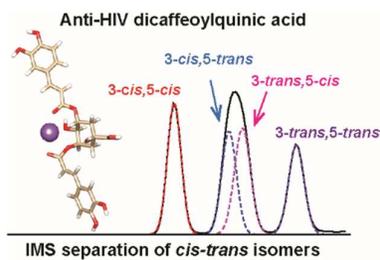
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Abstract:

Due to the recently uncovered health benefits and anti-HIV activities of dicaffeoylquinic acids (diCQAs), understanding their structures and functions is of great interest for drug discovery efforts. DiCQAs are analytically challenging to identify and quantify since they commonly exist as a diverse mixture of positional and geometric (*cis/trans*) isomers. In this work, we utilized ion mobility spectrometry coupled with mass spectrometry to separate the various isomers before and after UV irradiation. The experimental collision cross sections were then compared with theoretical structures to differentiate and identify the diCQA isomers. Our analyses found that the diCQAs naturally existed predominantly as *trans/trans* isomers, but after three hours of UV irradiation, *cis/cis*, *cis/trans*, *trans/cis*, and *trans/trans* isomers were all present in the mixture. This is the first report of successful differentiation of *cis/trans* diCQA isomers individually, which shows the great promise of IMS coupled with theoretical calculations for determining the structure and activity relationships of different isomers in drug discovery studies.

Table of Contents



1
2
3 Chlorogenic acids (CGAs) are polyphenolic natural products consisting of esters of
4 quinic acid and hydroxycinnamic acids (such as caffeic-, ferulic-, sinapic- or coumaric acid),
5 and found in a wide variety of food and beverage plants. CGAs have been linked to reducing
6 obesity and influenza, and preventing cataractogenesis¹⁻³, and thus are of great interest for
7 drug discovery efforts. Further, caffeoylquinic acids (CQAs) and dicaffeoylquinic acids
8 (diCQAs), which are the major class of CGAs, also are reported to have antioxidant, anti-
9 HIV, anti-hypertensive, and anti-inflammatory health benefits⁴⁻¹¹. CGAs, however, normally
10 exist as complex mixtures with various positional and geometric (*cis/trans*) isomers¹²⁻¹³.
11 Additionally, exposure to UV light has shown to change the *trans* double bond orientations to
12 *cis*, and when multiple double bonds exist, *cis/cis* and combinations of *cis/trans* isomers are
13 very common¹⁴. To date, the structure and activity relationship for these *cis/trans* isomers
14 has not been well understood, but it is known that the bioactivities of CGAs are affected by
15 the *cis/trans* isomerism¹⁵⁻¹⁶.

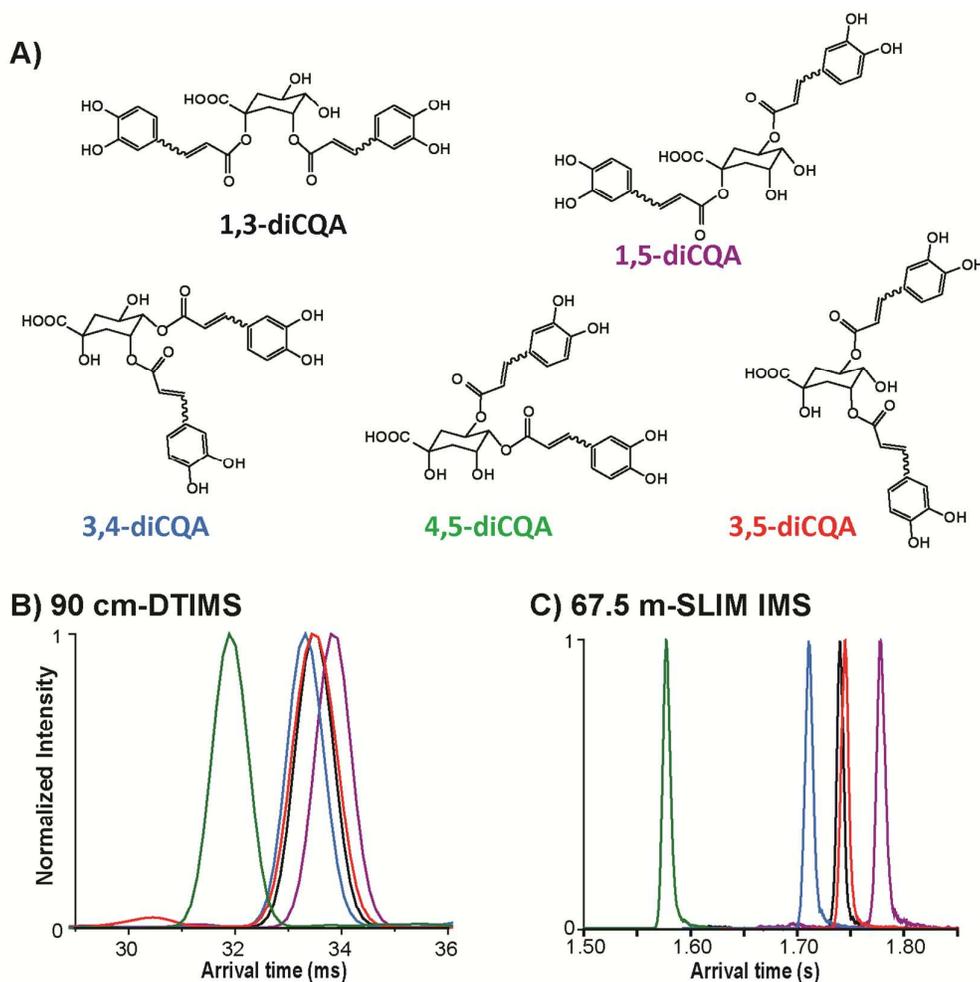
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33 As mentioned, diCQAs exhibit anti-HIV-1 activity by binding irreversibly to the HIV-1
34 DNA integrase (a key enzyme for viral HIV DNA integration) and inhibiting HIV-1 DNA
35 replication⁷⁻⁹. Related hydroxycinnamic acid derivatives such as L-chicoric acid
36 (dicaffeoyltartaric acid) also possess the same anti-HIV activities, but both the diCQAs and
37 dicaffeoyltartaric acids activities are highly dependent on the structural isomers present¹⁶⁻¹⁸.
38 Structural isomerization analyses for other natural or synthetic drugs have also shown either
39 agonistic or antagonistic effects. For example, an isomeric mixture of conjugated linoleic
40 acids has been shown to possess enhanced biological activities when compared to the pure
41 components¹⁹, indicating biological synergism of the isomers. Also the unnatural *cis* isomers
42 of potent proteasome inhibitors have exhibited stronger inhibitory activity than the *trans*
43 counterparts²⁰. Thus understanding the structures and activities of CGAs is essential for their
44 use in drug studies.

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3 The identification and quantification of phytochemicals in plant extracts is challenging
4 due to their structural diversity, therefore reliable analytical methods capable of
5 differentiating related structures are needed ²¹. Although great efforts have been put forth,
6 commonly used analytical platforms have shown significant deficiencies with these
7 separations. For instance, LC-MSⁿ approaches developed to discriminate positional isomers
8 of CGAs provide limited differentiation of geometric isomers ²²⁻²³. Geometric CGA isomers
9 in previous studies were determined through chromatographic elution orders; however this
10 can be problematic depending on the chromatographic parameters and their reproducibility ¹⁴.
11 Recently it has been reported that *cis* geometrical isomers of diCQAs preferentially bind to
12 alkali metal ions and could be discriminated from the *trans* isomers using LC-MS ²⁴.
13 However, this method is capable of discriminating the group of *cis* isomers from the group of
14 *trans* isomers, but not identifying individual isomers.

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With recent technology advancements, ion mobility spectrometry-mass spectrometry (IMS-MS) has become an appealing tool for the separation and structural characterization of biomolecules ²⁵⁻²⁶. IMS-MS has also been used in the characterization of positional and geometrical isomers for small molecules ²⁷⁻²⁸ and those due to photoisomerization ²⁹⁻³⁰. Recently, IMS was applied to separate mono- and diCQAs and differentiate their positional isomers ³¹⁻³², but unfortunately the *cis/trans* isomers were not separated. Here we utilized drift tube ion mobility spectrometry-mass spectrometry (DTIMS-MS) to elucidate the *cis/trans* isomer structures of diCQAs generated by UV irradiation-induced photoisomerization. Furthermore, a newly developed structure for lossless ion manipulations platform was utilized for ultrahigh resolution IMS separations of the *cis/trans* isomers. SLIM IMS uses traveling waves and a compact serpentine drift path for high ion mobility resolution. A switch can also be utilized in these devices to perform multiple passes in the drift path for even higher IMS resolution ³³⁻³⁸. The work detailed in this manuscript allowed

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2
3 the structure for each *cis/trans* isomer to be unambiguously identified by comparing the
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5 experimental collision cross section (CCS) values with theoretical CCS values obtained from
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7 molecular modelling. This comparison enabled the differentiation and identification of
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9 isomers for these complex natural bioactive phytochemicals, and important for understanding
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11 their bioactivity.
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15 In this study, first we characterized the positional isomers for the five diCQA standards
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17 (1,3-diCQA, 1,5-diCQA, 3,4-diCQA, 3,5-diCQA, and 4,5-diCQA; Figure 1A) using a 90-cm
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19 DTIMS-MS platform (Agilent 6560 IMS-QTOF MS, Santa Clara, California, USA)³⁹⁻⁴⁰. The
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21 DTIMS profiles for these diCQA isomers ($[M+Na]^+$, $m/z = 539.12$) are shown in Figure 1B.
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23 Prior to UV irradiation, each diCQA isomer displayed a single IMS peak, consistent with the
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25 fact that these compounds naturally exist as *trans/trans* isomers. The arrival time
26
27 distributions (ATDs) for these positional isomers were similar; however, 4,5-diCQA eluted
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29 first (indicating a smaller structure) and was baseline separated while 1,5-diCQA had the
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31 longest arrival time and the largest structure. The CCS value for each diCQA isomer was
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33 measured by DTIMS and is given in Table 1. To better differentiate the positional isomers,
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35 the SLIM IMS-MS platform was then used to obtain ultrahigh resolution IMS separations. As
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37 shown in Figure 1C, after 5 passes of the SLIM IMS-MS platform (67.5 m drift length) 4,5-
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39 diCQA, 3,4-diCQA and 1,5-diCQA were well separated, and 1,3-diCQA and 3,5-diCQA
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41 partially separated. Since the SLIM IMS-MS platform is based upon traveling waves, direct
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43 CCS measurement is not possible without calibration, so only the CCS values from DTIMS
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45 are noted in Table 1.
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36 **Figure 1.** IMS characterization of the dicaffeoylquinic acid positional isomers 1,3-diCQA,
37 1,5-diCQA, 3,4-diCQA, 3,5-diCQA and 4,5-diCQA. **A)** The chemical structures of each
38 isomer and arrival time distributions (ATDs) using a **B)** DTIMS platform and **C)** ultrahigh
39 resolution SLIM IMS.

40
41 **Table 1.** Experimental collision cross sections measured by DTIMS in nitrogen gas ($^{DT}CCS_{N_2}$, in
42 \AA^2) for each diCQA positional isomer. The coefficient of variance (CV) determined by triplicate
43 measurements were provided.

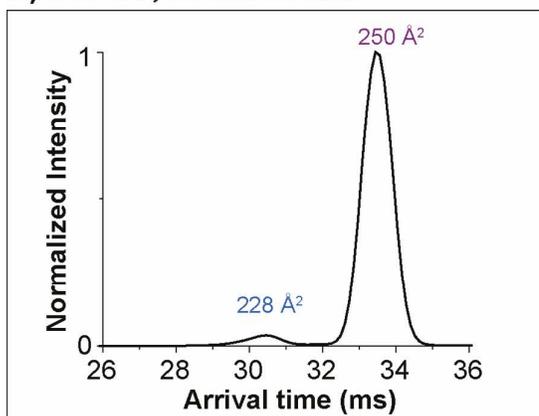
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| | Experimental $^{DT}CCS_{N_2}$ (\AA^2) | Experimental CV (%) |
|-----------|---|------------------------|
| 1,3-diCQA | 250 | 0.5 |
| 1,5-diCQA | 252 | 0.3 |
| 3,4-diCQA | 249 | 0.1 |
| 3,5-diCQA | 251 | 0.5 |
| 4,5-diCQA | 236 | 0.1 |

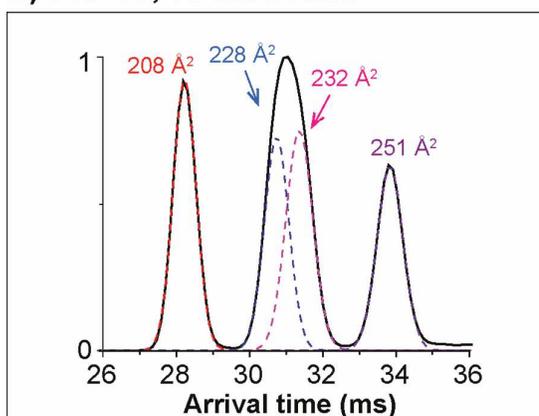
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3 Among these positional isomers, the most abundant form and potent HIV-1 inhibitor 3,5-
4 diCQA was selected to establish an analytical method for *cis/trans* isomer separation since
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6 four possible geometric isomers exist: 3-*trans*,5-*trans*-diCQA isomer, 3-*cis*,5-*trans*-diCQA,
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8 3-*trans*,5-*cis*-diCQA and 3-*cis*,5-*cis*-diCQA. Previous molecular docking studies showed that
9
10 these isomers have different binding activities with the HIV-1 INT enzyme, a key enzyme for
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12 viral HIV DNA integration ⁴¹. While these isomers all bind to the catalytic domain of HIV-1
13
14 INT enzyme, the *cis* isomers were found to bind to the metal cofactor of HIV-1 INT, which is
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16 related to its antiviral activity. Moreover, 3-*trans*,5-*cis*-diCQA interacted with both the
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18 LYS156 and LYS159 residues that are significant for viral DNA integration. These docking
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20 results also showed that different binding activities between the 3,5-diCQA isomers with
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22 HIV-1 INT enzyme are synergistic and provide wider inhibition activity than a single isomer.
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24 Therefore, understanding the structures of the geometric isomers is important for elucidating
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26 their bioactivities.
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33 Upon UV irradiation at 245 nm for 3 hours, the photoisomerization products of 3,5-
34 diCQA were examined by DTIMS-MS (Figure 2). Without UV irradiation, 3,5-diCQA shows
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36 mainly a single feature which corresponds to the 3-*trans*,5-*trans* isomer (Figure 2A). An
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38 additional peak at a shorter arrival time however was also observed, indicating a small
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40 fraction of 3,5-diCQA exists in other conformations prior to UV irradiation. After three hours
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42 of UV irradiation, several additional features arose with shorter arrival times (Figure 2B).
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44 The feature with the shortest arrival time (left) displayed a very narrow distribution similar to
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46 the feature with the longest arrival time (right). The middle feature however was twice as
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48 broad as the other features and could be fitted with two features, indicating the presence of
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50 two conformations with similar abundances.
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A) 0 hr UV, 90 cm-DTIMS



B) 3 hr UV, 90 cm-DTIMS



C) 3 hr UV, 14.7 m SLIM IMS

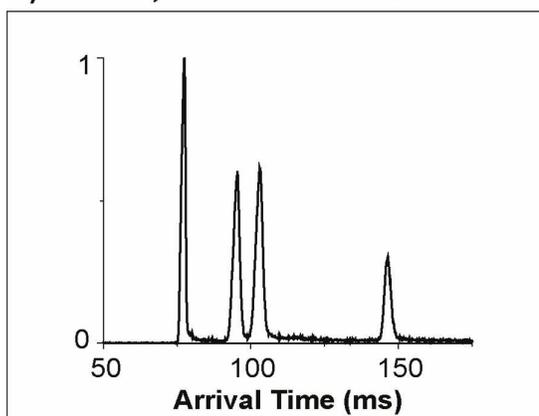


Figure 2. ATDs for the 3,5-diCQAs **A)** before UV irradiation and **B)** after 3 hr UV irradiation using the DTIMS-MS and **C)** the SLIM IMS-MS platforms. The dashed lines in **B)** represent the IMS peak shapes expected for single structures.

To better differentiate the 3,5-diCQA *cis/trans* isomers, SLIM IMS-MS measurements were performed for the conformers resulting from UV irradiation. As shown in Figure 2C

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3 with only a 14.7 m SLIM IMS separation, the middle feature that was unresolved in Figure
4 2B is clearly separated into two features, confirming that there are four isomers for 3,5-
5 diCQA after photoisomerization. To assign these *cis/trans* isomers, theoretical modelling was
6 performed for each isomer allowing the calculation of theoretical CCS values (**Figure 3** and
7 **Table 2**). By comparing the experimental and theoretical CCS values, the four ATD features
8 were assigned (left to right) as: *3-cis,5-cis*, *3-trans,5-cis*, *3-trans,5-cis* and *3-trans,5-trans*
9 (**Table 2**). The theoretical structures also revealed that the *3-cis,5-cis* isomer has the most
10 compact structure with the two caffeic acid (CA) groups/moieties collapsed toward each
11 other, while the *3-trans,5-trans* isomer was the most extended with the two CA groups
12 widely open and extending in opposite directions. The *3-cis,5-trans* and *3-trans,5-cis* isomers
13 both adapt a partially open conformation with one CA group collapsing toward the center and
14 the other group extending to the side. The *3-trans,5-cis* isomer however does have a slightly
15 more open structure than the *3-cis,5-trans* isomer resulting in a larger CCS value. Thus, the
16 *cis* or *trans* orientation has an important impact on the molecule's conformation. Moreover,
17 the intensity for *3-cis,5-trans* isomer is similar to that of the *3-trans,5-cis* isomer, indicating
18 the chance of formation for these two isomers appears to be similar. To investigate this
19 occurrence and the conversion pathways between the 3,5-diCQA isomers, we monitored the
20 products formed after 2, 5, 10, 20 and 30 minutes of UV irradiation. Our analyses found that
21 the *cis,cis* isomer only forms through *3-cis,5-trans* and *3-trans,5-cis* isomers not directly
22 from the *trans,trans* isomer (see SI Figure S1). To estimate the reaction barriers associated
23 with the transformation pathways from the *3-trans,5-trans* isomer to the *3-cis,5-cis* isomer,
24 we determined the minimum energy paths using the "string method"⁴² similar to Yoon et
25 al.⁴³. The reaction energies (ΔE) along the minimum energy paths are depicted in Figure S2.
26 The results illustrate that the conversion from *3-trans,5-trans* to *3-trans,5-cis* or *3-cis,5-trans*
27 is very high, explaining why diCQA exists as *trans,trans* until it is irradiated. They also
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Table 2. Theoretical and experimental CCS values (in Å²) for each *cis/trans* 3,5-diCQA isomer.

| 3,5-diCQA | Theoretical CCS _{N₂} (Å ²) | Theoretical CV (%) ^a | Experimental ^{DT} CCS _{N₂} (Å ²) ^b | Experimental CV (%) |
|------------------------|---|------------------------------------|---|------------------------|
| <i>3-cis,5-cis</i> | 210 | 0.2 | 208 | 0.3 |
| <i>3-cis,5-trans</i> | 226 | 0.2 | 228 | 0.1 |
| <i>3-trans,5-cis</i> | 232 | 0.1 | 232 | 0.4 |
| <i>3-trans,5-trans</i> | 252 | 0.2 | 251 | 0.3 |

^a The theoretical CV is the deviation from the mean resulting from multiple calculations

^b ^{DT}CCS_{N₂} is the collision cross sections measured by DTIMS in nitrogen buffer gas

This manuscript tackles the difficult problem of identifying and quantifying *cis* and *trans* isomers of phytochemicals in natural product and plant extracts, which to date has been extremely challenging due to the vast structural diversity of the isomers present in the mixtures. Here IMS-based approaches were successfully utilized to separate complex diCQA isomers and identify the *cis/trans* isomers with the aid of theoretical modelling. The ultrahigh resolution SLIM IMS-MS technology enabled baseline separation of the isomers and was a powerful tool for analyzing the complex natural products and elucidating structure-activity relationships. Further, coupling the experiment IMS separations and theoretical calculations provided insight into isomeric conversions, which will be extremely important for drug discovery studies and optimizing specific bioactivities.

METHODS

Materials and Sample Preparations: Authentic standards of *trans,trans*-dicafeoylquinic acids (1,3-diCQA, 1,5-diCQA, 3,4-diCQA, 3,5-diCQA and 4,5-diCQA)

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3 were purchased from Phytolab (Vestenbergsgreuth, Germany). Analytical grade methanol
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5 was purchased from Romil Ltd (Cambridge, UK). To obtain the cis isomers of the
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7 dicaffeoylquinic acids, a 1 mg/mL stock solution was prepared in 100% methanol. The
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9 samples were then irradiated using a UV lamp (Spectroline, USA) operating at 245 nm with
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11 an intensity of $390\mu\text{W}/\text{cm}^2$. The lamp was not covered with any notch filter. The products of
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13 UV irradiation were analyzed by liquid chromatography-mass spectrometry²² and no other
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15 species were observed other than those peaks corresponding to the 3,5-diCQA isomers (LC
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17 chromatograms shown in Figure S3). For the time-dependent photoisomerization study, the
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19 3,5-diCQA was UV irradiated for 2, 5, 10, 20, 30, 60 and 180 minutes and the products were
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21 analysed by IMS-MS.
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26 **DTIMS-MS:** The chemicals were analysed using an Agilent 6560 ion mobility-
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28 quadrupole time of flight mass spectrometry (IM-QTOF MS) platform³⁹⁻⁴⁰. Briefly, for ion
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30 mobility measurements, after electrospray ionization, ions were passed through the inlet glass
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32 capillary, focused by a high pressure ion funnel, and accumulated in a lower pressure ion
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34 funnel trap (IFT). Ions were then pulsed into the 90 cm-long IMS drift tube filled with ~ 4
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36 torr of nitrogen gas, where they travel under the influence of a weak electric field (10-20
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38 V/cm). Ions exiting the drift tube were refocused by a rear ion funnel prior to QTOF MS
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40 detection and their arrival time (t_A) were recorded. The reduced mobility (the mobility scaled
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42 to standard temperature and pressure) can be determined from instrument parameters by
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44 plotting t_A versus p/V ⁴⁴
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$$49 \quad t_A = \frac{L^2}{K_o} \left(\frac{273.15}{760 T} \right) \left(\frac{p}{V} \right) + t_0$$

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53 Here L is the drift length, V is the drift voltage, t_0 is the time ion spending outside of the
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55 drift cell, T is the drift gas temperature, and p is the drift gas pressure. The reduced mobility
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57 can be related to the collision cross sections of the analyte using kinetic theory⁴⁴.
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$$\Omega = \frac{3q}{16N} \left(\frac{2\pi}{\mu k_B T} \right)^{1/2} \frac{1}{K_0}$$

Here, q is the ion charge, N is the buffer gas number density at STP, μ is the reduced mass of the ion–Nitrogen collision, and k_B is the Boltzmann constant. All the CCS values were measured using 7 stepped field voltages. Each measurement was performed in triplicate and the coefficient of variance (CV) was below 0.5% in all cases.

SLIM-IMS-MS: To achieve ultrahigh resolution IMS separation of the *cis/trans* isomers, the UV irradiated products of 3,5-diCQAs were also measured using a structures for lossless ion manipulations IMS-MS platform (SLIM IMS-MS). SLIM IMS uses traveling waves and a compact serpentine ion drift path for efficient ion selection, trapping and accumulations³³⁻³⁸. The SLIM IMS-MS platform has a 13 m long serpentine drift path and multipass capability, as recently described^{38,45}.

Theoretical Calculations: Molecular modeling was performed using NWChem (v6.6)⁴⁶, a high-performance computational chemistry software, similar to our previous studies⁴⁷⁻⁴⁸. Briefly, 2D structure files (.mol) were analyzed using the Marvin pKa plugin (Marvin 15.9.14, 2015, ChemAxon) for adduct site prediction⁴⁹. Initial geometry relaxation was performed using the Merck molecular force field (MMFF94)⁵⁰ implemented in Avogadro (v1.1.1)⁵¹. Density functional theory (DFT) based *ab initio* molecular dynamics (AIMD)⁵², as implemented in NWChem, was used to sample 100 conformers for each molecule⁵². AIMD calculations were each run for at least 10.2 ps, with conformers sampled every 101.6 fs. The AIMD temperature was maintained using a stochastic velocity rescaling thermostat⁵³. This was followed by DFT-based frequency calculations for determining the Gibbs free energy of each conformer. The B3LYP exchange-correlation functional was used for all calculations⁵⁴⁻⁵⁷ and Pople basis sets at the 3-21G level (a double-zeta split-valence potential basis set)⁵⁸⁻⁵⁹ and 6-31G* level (a double-zeta valence potential basis set having a single

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3 polarization function)⁶⁰⁻⁶², were used for the AIMD and DFT frequency calculations,
4
5 respectively. All basis sets were obtained from the Environmental Molecular Sciences
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7 Laboratory (EMSL) Basis Set Exchange (bse.pnl.gov)⁶³⁻⁶⁴. NWChem output files were
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9 processed using custom-written Python scripts. Python (v2.7.10), with the NumPy package
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11 (v1.9.2)⁶⁵, was implemented using WinPython (v2.7.10.1, <http://winpython.github.io>), a free,
12
13 open-source, and portable full-featured Python-based scientific environment. IPython (v3.2.0)
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15 ⁶⁶, an enhanced Python shell, was used within the Scientific Python Development
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17 Environment (Spyder v2.3.5.2) for NWChem output data processing. Aided by
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19 supercomputers, our molecular modelling approach calculated CCS values with high
20
21 accuracy, enabling the identification of the geometric isomers. The use of a first-principles
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23 theory (DFT) based AIMD approach enables consideration of the electronic structure of each
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25 isomer and its role in the conformer geometries. CCS values were calculated for all molecular
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27 conformers using the MOBCAL software, modified for the room temperature N₂-based
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29 trajectory method⁶⁷⁻⁶⁹. The atom coordinates, radius, and charge distribution of the optimized
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31 geometry structures were used as input to the MOBCAL calculations. Final CCS values were
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33 obtained by a clustering approach using histogram distributions to determine the most
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35 abundant, low-energy, stable conformers. The scatter plots for the relative energy of 100
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37 structures for each molecule as determined by ab initio calculations versus collision cross
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39 section as determined by MOBCAL. An example plot and frequency diagram are shown in
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41 Figure S4 for 3-*trans*,5-*cis* diCQA. NWChem was also used to calculate the minimum-energy
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43 paths using density functional theory (DFT) based zero temperature “string method”. We
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45 employed 40 beads to represent each reaction path, for a total of 80 beads from 3-*trans*,5-
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47 *trans* diCQA to 3-*cis*,5-*cis* diCQA. The B3LYP exchange-correlation functional with the
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49 Pople basis set 3-21G was used for the string method with a stepsize of 0.1 and a maximum
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51 of 100 iterations.
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Notes

The authors declare no competing financial interests.

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Supporting Information Available: Time-dependent study of the photoisomerization of 3,5-diCQA by IMS-MS following UV irradiation; The minimum-energy paths from 3-*trans*,5-*trans* diCQA through 3-*trans*,5-*cis* diCQA and 3-*cis*,5-*trans* diCQA to 3-*cis*,5-*cis* diCQA using the “string method” as implemented in NWChem; LC chromatograms for 3,5-diCQA before and after UV irradiation; An example scatter plot and cluster diagram for 3-*trans*,5-*cis* diCQA. The Supporting Information is available free of charge on the ACS Publications website.

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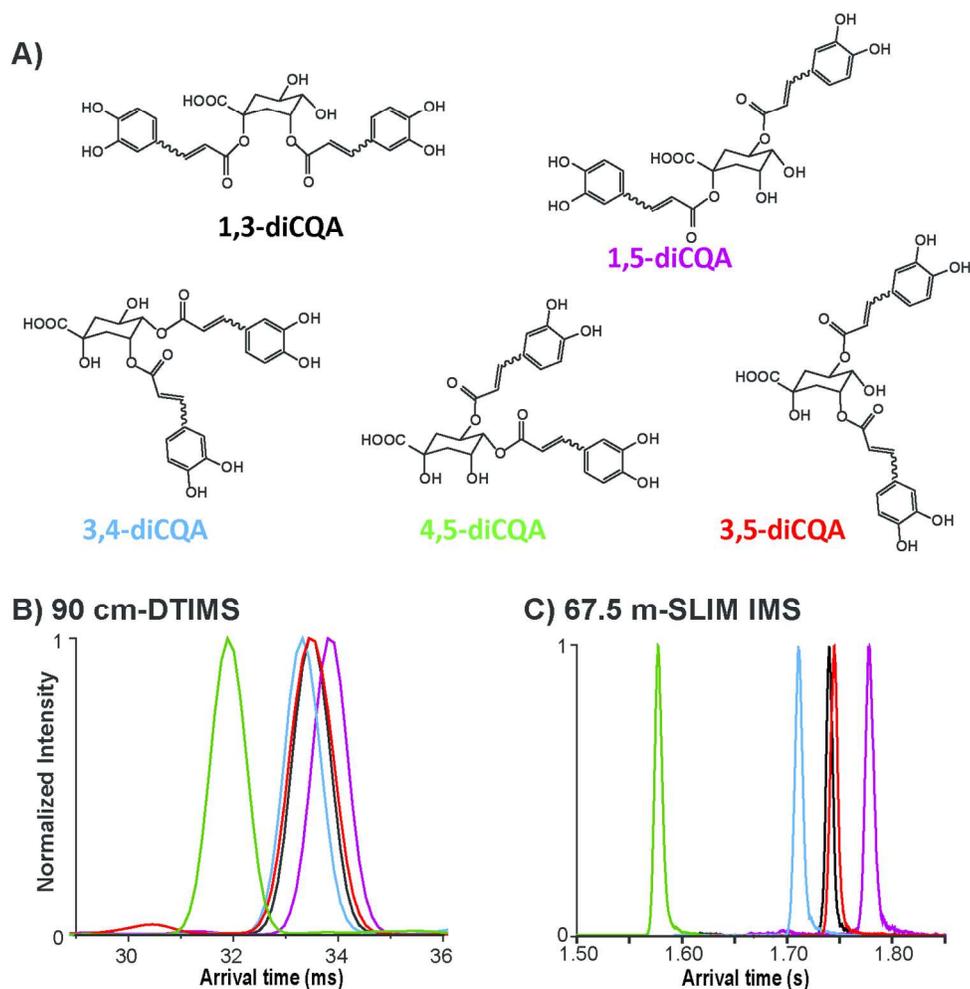
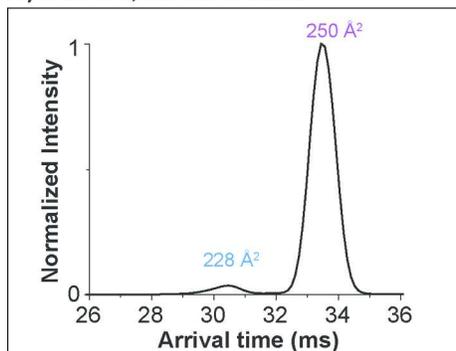


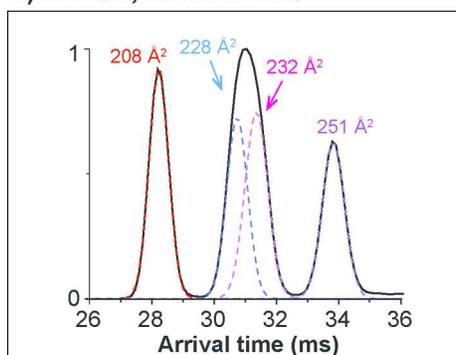
Figure 1. IMS characterization of the dicaffeoylquinic acid positional isomers 1,3-diCQA, 1,5-diCQA, 3,4-diCQA, 3,5-diCQA and 4,5-diCQA. A) The chemical structures of each isomer and arrival time distributions (ATDs) using a B) DTIMS platform and C) ultrahigh resolution SLIM IMS.

140x139mm (300 x 300 DPI)

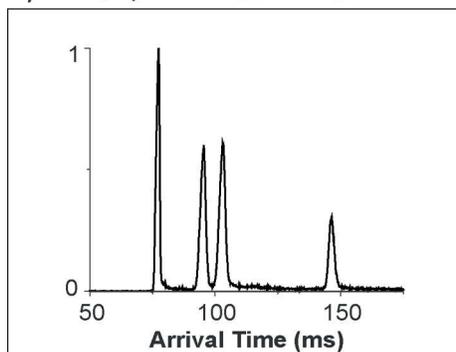
A) 0 hr UV, 90 cm-DTIMS



B) 3 hr UV, 90 cm-DTIMS

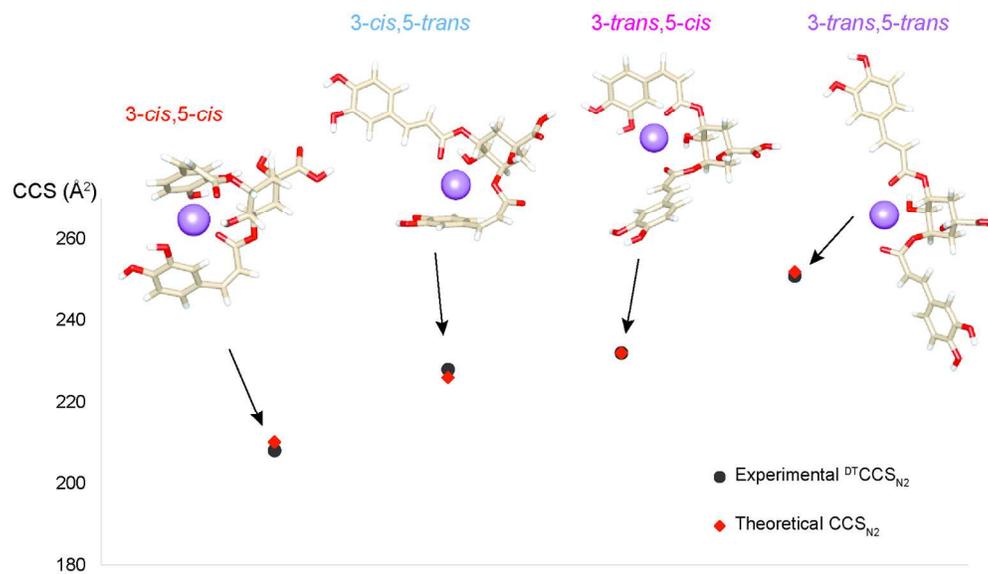


C) 3 hr UV, 14.7 m SLIM IMS



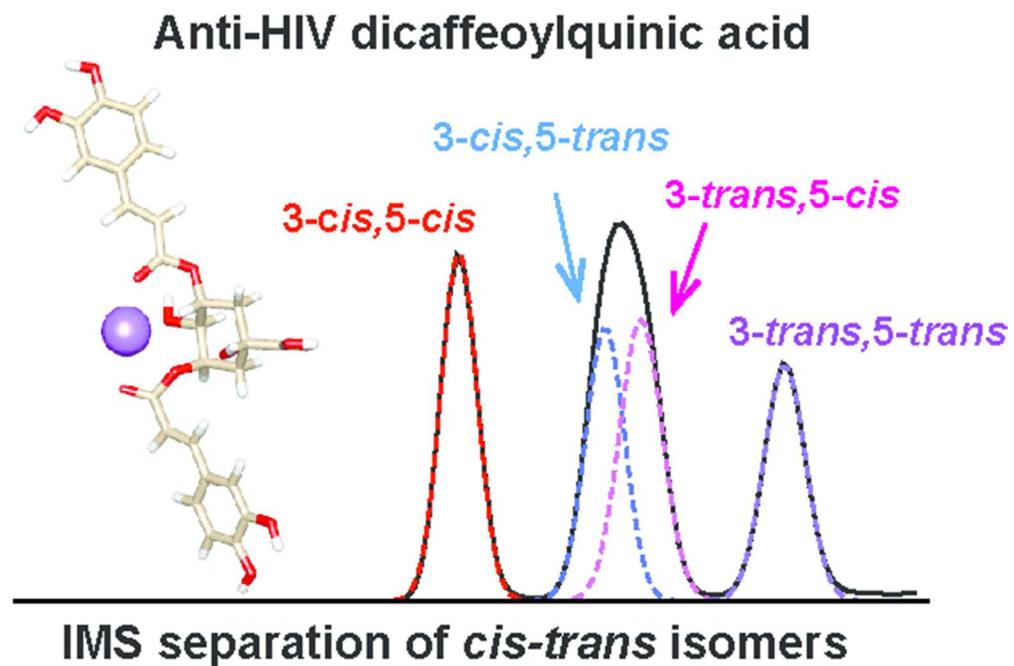
ATDs for the 3,5-diCQAs A) before UV irradiation and B) after 3 hr UV irradiation using the DTIMS-MS and C) the SLIM IMS-MS platforms. The dashed lines in B) represent the IMS peak shapes expected for single structures.

76x198mm (300 x 300 DPI)



Theoretical modelling structures for the cis/trans photoisomerization products of 3,5-dicQA and their theoretical CCS_{N_2} values compared to the experimental $DTCCS_{N_2}$ values. The error associated with each measurement is noted in Table 2.

161x97mm (300 x 300 DPI)



IMS separation of *cis-trans* isomers of dicafeoylquinic acid.

52x35mm (300 x 300 DPI)